

SIGA

UNIVERSAL STANDARDS ON SPORTS BETTING INTEGRITY

Introduction and Guidance

These **SIGA Universal Standards on Sports Betting Integrity** shall be adopted and implemented by all sports organisations and other public and private entities that subscribe to SIGA's Core Principles on Sport Integrity.

Inspired by the SIGA Core Principles on Sport Integrity, this set of Universal Standards on Sports Betting Integrity provides a coordinated, holistic, universal framework to protect the integrity of sport, for the benefit of the whole sport movement and sporting industry alike, and of course sports betting regulators and operators, governmental authorities, fans, broadcasters, sponsors and other key stakeholders.

From a methodological perspective, existing tools for evaluation of a given organization so that it can improve over the years demonstrate that the use of sets of standards or principles must be conducted rigorously while it is clear that they are often interdependent, overlapping, not easily actionable and often too numerous to be of real use and to help.

That is why the development of the SIGA Universal Standards on Sports Betting follows a pragmatic and action-oriented approach, and takes into consideration the following criteria:

- Easily enforceable;
- Quickly enforceable;
- Applicable to all sports;
- Enforceable with few resources; and
- Scientific evidence and best practice-based.

Adopting the methodology followed by the “Sorbonne-ICSS Guiding Principles for Protection Against Manipulation of Sporting Competitions”, the SIGA Universal Standards on Sports Betting Integrity include:

- a) A set of principles commonly addressed to all stakeholders groups (i.e. Governmental Authorities, Sports Organisations, Sports Betting Regulators and Sports Betting Operators); and
- b) A set of universal standards specifically addressed to each one of them.

The Universal Standards offer three different levels of phased implementation – bronze, silver and gold.

The bronze standard is considered the minimum requirement that stakeholders must fulfil in the journey to reach excellence in sports betting integrity, the silver standard is an intermediary step for organisations with greater capabilities and willingness to achieve a greater level, and the gold standard corresponds to the level of implementation reached by organisations that demonstrate, apply and commit themselves to the highest standards in the field of sports betting integrity.

In other words, the SIGA Universal Standards on Sports Betting Integrity should allow stakeholders not only to evaluate whether – for each standard or principle – it is effectively implemented in their own policies, procedures and structures but also which level of implementation they have reached – regarding the main risks and threats they can face at this stage of implementation – and which measures should be taken to fulfil with the requirements of higher standards (bronze to silver/silver to gold/bronze to gold).

This evaluation is based on qualitative and/or quantitative predefined objectives (example of qualitative predefined objective: “Engage with the other SIGA members in identifying, drafting and improving the SIGA standards”; example of quantitative predefined objective: “Have in operation an Integrity Department, Unit or Official”).

Specific programs to assist with capacity building, information sharing and implementation challenges are planned as part of the SIGA offering, with a particular focus on helping stakeholders that may need additional support.

PART I: COMMON PRINCIPLES APPLICABLE TO ALL STAKEHOLDER GROUPS

The organisations that agree to adhere to the SIGA Core Principles on Sport Integrity, namely Governmental Authorities, Sports Organisations, Sports Betting Regulators and Sports Betting Operators, are encouraged to:

	BRONZE	SILVER	GOLD
I. Promote, through SIGA, an independent, neutral, multi-stakeholder, global united front to effectively tackle the manipulation	Adhere to SIGA Principles	Engage with the other SIGA members in identifying, drafting and improving the SIGA Standards	Engage in SIGA activities and in the implementation and operationalization of SIGA Principles and Standards in the

<p>of sporting competitions, illegal betting, sports betting fraud and all detrimental practices that affect the reputation and credibility of the organisation.</p>		<p>Foresee how to be aligned with SIGA Principles and Standards</p>	<p>universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Proactively contribute to built a united front</p>
<p>II. Identify, assess and manage the risks linked to the manipulation of sport competitions, illegal betting, and sports betting fraud to sport, betting operators and governments through an Integrity Department or Unit or an Official designated with responsibility for Integrity. Each Department, Unit or Official ought to be the designated Integrity Focal Point as envisaged in Standard 3.</p>	<p>Adhere to SIGA Principles</p>	<p>Have in operation an Integrity Department or Unit or an Official designated with responsibility for Integrity to analyze data and make risks' assessment and risks' management</p> <p>Adopt mechanisms of transparency and data exchange with all the relevant stakeholders</p> <p>Engage with the other SIGA members in identifying, drafting and improving the SIGA standards for enhanced transparency</p>	<p>Engage in SIGA activities and in the implementation and operationalization of SIGA Principles and Standards in the universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Proactively contribute to uphold the global levels of transparency, to improve and enhance risks' assessment and management</p>
<p>III. Appoint an integrity focal point (either an individual, committee, unit or platform) within governments, regional/international organisations (e.g. Europol, Interpol), sports organisations, betting regulators and operators to properly coordinate the global response to the manipulation of sport competitions, illegal betting, and sports betting fraud.</p>	<p>Adhere to SIGA Principles</p> <p>Foresee the existence of an Integrity Focal Point and actively work for the Unit/Platform, etc. to be set in place</p>	<p>Have in place a department/unit with an Integrity Focal Point</p> <p>Actively participate in the coordination through, notably, exchanges of information and overview of the respective sport or jurisdiction</p>	<p>Engage in SIGA activities and in the implementation and operationalization of SIGA Principles and Standards in the universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Proactively contribute to uphold the global levels of coordination between stakeholders and improve the mechanisms for cooperation through integrity focal points through integrity</p>

			focal points
IV. Implement capacity building programs, as well as training, education and public awareness initiatives, involving all key participants in sporting competitions and relevant stakeholders	Conduct analysis and mapping for identification of needs for capacity building programs, as well as training, education and public awareness initiatives	<p>Have strong capacity building programs, as well as training, education and public awareness initiatives based on robust methodology</p> <p>Educate stakeholders of all key participants in the relevant rules and ethics of sports betting integrity and the impact of failure to uphold integrity</p>	Have assessment's tools for evaluation and improvement of capacity building programs, as well as training, education and public awareness initiatives
V. Improve intelligence gathering and information/fact-finding exchange between relevant stakeholders	<p>Adhere to SIGA Principles</p> <p>Foresee the existence of protocols and procedures for intelligence gathering and information/fact-finding sharing with other stakeholders with due respect for personal data protection and national/regional/international regulations about intelligence and information/fact-finding sharing via the establishment of both formal and informal networks</p>	<p>Have in place protocols and procedures for intelligence gathering and information/fact-finding sharing with other stakeholders with due respect for personal data protection and national/regional/international regulations about intelligence and information/fact-finding sharing</p> <p>Establish formal memorandums and legislate to enable exchange of intelligence and information/fact-finding</p>	<p>Engage in SIGA activities and in the implementation and operationalization of SIGA principles in the universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Proactively contribute to uphold the global levels of intelligence gathering and information/fact-finding sharing and improve the mechanisms for intelligence gathering and information/fact-finding sharing</p> <p>Report on success stories and case examples to promote benefits of intelligence and information/fact-finding exchange.</p>
VI. Work towards an international integrity platform for cooperation involving key stakeholders ought to facilitate exchange of information and good practices, intelligence gathering, capacity building, training and	<p>Adhere to SIGA Principles</p> <p>Foresee the existence of an international integrity platform and the participation to this platform involving</p>	Be part of an international integrity platform involving key stakeholders with due respect to international rules and to national/regional regulations where appropriate	Engage in SIGA activities and in the implementation and operationalization of SIGA principles in the universe of Sport

awareness initiatives.	key stakeholders with due respect to international rules and to national/regional regulations where appropriate		<p>Allocate time and prioritization to pursue the global objectives</p> <p>Proactively contribute to improve the operation of the international integrity platform</p>
VII. Ensure adherence (e.g. ratification and enforcement) to international legal norms (e.g. United Nations Convention against Transnational Organised Crime, United Nations Convention against Corruption and Council of Europe Convention on the Manipulation of Sports Competitions).	<p>Adhere to SIGA Principles</p> <p>Foresee the adherence to international legal norms</p>	Adhere to international legal norms in respecting legal means for adhesion (<i>i.e.</i> signature and ratification)	<p>Engage in SIGA activities and in the implementation and operationalization of SIGA principles in the universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Implement efficiently provisions of international legal norms and proactively contribute to improve international legal norms</p>
VIII. Identify, define, prosecute and sanction or report the conduct that constitutes a criminal or disciplinary punishable offence in relation to the manipulation of sports competitions, illegal betting, and betting fraud.	<p>Adhere to SIGA Principles</p> <p>Foresee for identification and definition of the conduct that constitutes a punishable offence in relation to the manipulation of sports competitions, illegal betting, and betting fraud</p>	Have state or sport regulations defining, prosecuting and sanctioning the conduct that constitutes an offence in relation to the manipulation of sports competitions, illegal betting, and betting fraud	<p>Engage in SIGA activities and in the implementation and operationalization of SIGA principles in the universe of Sport</p> <p>Allocate time and prioritization to pursue the global objectives</p> <p>Implement efficiently provisions defining, prosecuting and sanctioning the conduct that constitutes an offence in relation to the manipulation of sports competitions, illegal betting, and betting fraud and proactively contribute to improve them.</p>

PART II: INDIVIDUAL STAKEHOLDER GROUPS

The following standards are aimed at individual stakeholder groups, as specified.

- 1. GOVERNMENTAL AUTHORITIES** shall adopt the adequate **legislative and policy measures** to prevent and fight against illegal and criminal activities associated with match-fixing in compliance with the fundamental principles in the context of sport betting integrity

	BRONZE	SILVER	GOLD
1.1 Regulate the betting market in the respective jurisdiction and allocating resources for proper enforcement and compliance	<p>Conduct risk assessment and mapping to assess the national betting market and its relations with other national betting markets</p> <p>Establish a comprehensive legal framework relevant to regulate the betting market (e.g. licensing arrangements - suitability of license applicants, vetting and criteria - and assessment of operators - identity and ownership, financial and other circumstances of the applicant, integrity, competence and criminality - criminal record of the applicant and or person(s) relevant to the application)</p> <p>Establish a gambling regulator and related gambling legislation</p> <p>Open channels of informal communications and information exchange (voluntary)</p>	<p>Implement and enforce a comprehensive legal framework offering different tools to regulate the national betting market and allowing where possible for inter-regulation with other national betting markets</p> <p>Have requirement procedures for sports organizations and sports regulators to provide betting information and intelligence</p>	<p>Have adequate assessment tools for control and independent review of efficiency of legislation allowing for the regulation of the national betting market and work actively to improve it</p> <p>Prosecute actively non-compliant betting operators in the relevant jurisdiction</p> <p>Have adequate assessment tools for control and independent review of efficiency of the gambling regulator</p> <p>Establish enhanced international networks and partnerships to share methods and information with other international regulators</p>
1.2 Establish a national sports integrity focal point (e.g. individual, agency, committee,	Evaluate and propose the existence of a national sports integrity focal point and	Have in place a national sports integrity focal point	Proactively contribute to uphold the global levels of coordination between

<p>unit, panel to coordinate action across public authorities)</p>	<p>actively work for the individual/agency/committee/unit/panel to be set in place</p>	<p>Actively participate in the coordination of action across public authorities through, notably, intelligence gathering and information sharing</p> <p>To work actively on the promotion and implementation of the provisions of the Convention of the Council of Europe on the Manipulation of Sports Competitions related to national platforms</p>	<p>stakeholders and improve the mechanisms for cooperation through national sports integrity focal points</p> <p>Provide adequate resources to the enforcement agencies tasked with implementing all the policies and regulations</p> <p>Promote training and education programs on national sports integrity focal points</p>
<p>1.3 Enhance the legislation related to sports betting by criminalising the following offenses:</p> <p>a) Manipulation of sports competitions b) Illegal betting c) Betting fraud d) Corruption e) Criminal infiltration f) Associated crimes g) Participatory acts, aiding and abetting</p>	<p>Identify and promote current legislation offering tools to fight sports manipulations, illegal betting, <i>etc.</i></p> <p>Conduct gap analysis and frame/map for introduction of new legislation</p> <p>Establish robust sport's legislation tackling manipulations, illegal betting, <i>etc.</i></p>	<p>Implement and enforce a comprehensive legal framework tackling manipulations, illegal betting, <i>etc.</i></p> <p>Have an expert sport prosecutor</p>	<p>Have adequate assessment's tools for control and independent review over efficiency of legislation tackling manipulations, illegal betting, <i>etc.</i> and work actively to improve it</p> <p>Have a mutual legal assistance treaty for sport</p> <p>Promote training and education programs on legislation tackling manipulations, illegal betting, <i>etc.</i></p>
<p>1.4 Define the boundary between sport regulation and state legislation</p>	<p>Conduct national analysis and mapping for identification of sports regulation and state legislation boundaries</p> <p>Identify applicable legislation that can be used to punish criminal activities in relation to the operation and conduct of sport</p>	<p>Have clear definition of autonomy of sport, its content and scope (Autonomy vis-à-vis whom? Autonomy about what? What legal bases for autonomy? What limits to autonomy? What tools for autonomy?)</p>	<p>Actively participate in the current initiatives aiming at building a new model of autonomy of sport</p>

	<p>Establish protocols for how the gambling regulator will decide whether to investigate allegations/suspicious of betting related match-fixing or allow the sports federation to pursue a disciplinary sanction</p>		
<p>1.5 Enhance rules and procedures regarding the collection, treatment, sharing and exchange of related intelligence and information</p>	<p>Recognize the need to share information and intelligence with relevant stakeholders</p> <p>Establish baseline framework for managing data</p> <p>Establish both informal and formal information sharing agreements</p>	<p>Have strong policies and procedures in place for managing the sport integrity intelligence lifecycle</p> <p>Have data security and protection measures</p> <p>Have protective legal measures in place</p>	<p>Have ISO standards in place for the management of sport integrity intelligence lifecycle</p> <p>Legislate information sharing between law enforcement agencies and Private Sector</p> <p>Establish a national Sport Betting Integrity Forum between main stakeholders (sports federations, police and regulator) to ensure efficient exchange of information, identification of emerging risks, and sharing ideas</p>
<p>1.6 Implement capacity building programs, as well as training, education and public awareness initiatives, involving all key participants in sporting competitions and relevant stakeholders</p>	<p>Conduct analysis and mapping for identification of needs for capacity building programs, as well as training, education and public awareness initiatives</p>	<p>Deliver strong capacity building programs, as well as training, education and public awareness initiatives based on robust methodology</p>	<p>Have adequate assessments tools for evaluation and independent review of capacity building programs, as well as training, education and public awareness initiatives and work actively to improve them</p>
<p>1.7 Establish funding criteria to safeguard the integrity of sports competitions as well as</p>	<p>Conduct analysis and mapping for identification of needs for the most</p>	<p>Ensure adequate funding criteria to safeguard the integrity of sports</p>	<p>Have adequate assessments tools for control and independent review over</p>

<p>sport's economic viability and ensuring that any focal point body is properly resourced and not just set up and rendered ineffective by inadequate resourcing</p>	<p>adequate funding criteria to safeguard the integrity of sports competitions as well as sport's economic viability</p>	<p>competitions as well as sport's economic viability with respect to national and regional regulations</p>	<p>the use of resources collected through funding criteria and work actively to improve it</p>
<p>1.8 Recognise and protect, through proper legislation, the sports organisers' rights and engage them in any licensing process</p>	<p>Conduct analysis and mapping for identification of legislation allowing for recognizing and protecting the sports organiser's rights and engage them in any licensing process</p> <p>Conduct gap analysis and frame/map for introduction of new legislation</p> <p>Establish specific legislation allowing for recognizing and protecting the sports organiser's rights and engage them in any licensing process with respect to regional regulation</p>	<p>Implement and enforce a comprehensive legal framework allowing for recognizing and protecting the sports organiser's rights and engage them in any licensing process with respect to regional regulation</p>	<p>Have adequate assessments tools for control and independent review over efficiency of legislation recognizing and protecting the sports organisers' rights and engaging them in any licensing process and work actively to improve it</p>
<p>1.9 Ensure effective protection and anonymity to whistle-blowers that come forward to report on breaches of rules or sports betting integrity matters.</p>	<p>Plan for the implementation of whistle-blowing procedures (ombudsman, independently-run integrity hotline, <i>etc.</i>)</p> <p>Encourage whistle-blowers and establish protection mechanisms</p> <p>Plan for the existence of a designated public department, unit or official responsible for receiving whistle-blower reports and ensuring remedial action is taken in respect of any breach of sports betting integrity rules, as well as the SIGA Universal Standards</p>	<p>Implement and enforce appropriate and comprehensive whistle-blowing public policy</p> <p>Establish an easily assessable channel for anyone to confidently seek guidance or raise concern about potential breaches in sport betting integrity matters</p> <p>Include in sport betting licenses the existence of whistle-blower protection mechanisms</p>	<p>Have adequate assessments tools for control and independent review over efficiency of whistle-blowing public policy allowing for protection and anonymity to whistle-blowers and work actively to improve it</p> <p>Promote training and education programs on whistle-blower procedures and protection</p> <p>Publish reporting and sanctioning procedures associated with breaches of the SIGA Universal Standards</p>
<p>1.10 Establish provisions on the liability of legal</p>	<p>Conduct analysis and mapping for</p>	<p>Implement and enforce a</p>	<p>Have adequate assessments tools for</p>

<p>persons for their participation in the manipulation of sports competitions, illegal betting, and betting fraud, and related offences, as well as admitting sports organisations as parties to criminal proceedings</p>	<p>identification of legislation allowing for liability of legal persons</p> <p>Conduct gap analysis and frame/map for introduction of new legislation allowing for liability of legal persons</p> <p>Establish specific legislation in respect to the liability of legal persons</p>	<p>comprehensive legal framework in respect to the liability of legal persons</p> <p>Promote, through legislation and governmental endorsement, the admission of sport organisations to criminal proceedings</p>	<p>control and independent review over efficiency of legislation on liability of legal persons and work actively to improve it</p> <p>Promote training and education programs in the public administration on the best international practices to address liability of legal persons</p> <p>Prioritize action against the manipulation of sport competitions, illegal betting, illegal fraud and related offenses with regard to the liability of legal persons</p>
<p>1.11 Have in place proper mechanisms on how to collect, share and use information for disciplinary purposes with due respect to personal data protection</p>	<p>Encourage policy and procedures for collecting information from interviews to physical information</p> <p>Encourage sport policy to advise on athlete’s rights during interview</p>	<p>Have a comprehensive case/intelligence management system</p> <p>Have policy to collect, share and use information (internal and external)</p> <p>Have a policy on evidence handling</p> <p>Ensure continuity of evidence</p> <p>Ensure athlete’s rights representation</p>	<p>Work actively to improve case/intelligence management system and policies</p> <p>Ensure proper articulation between disciplinary and criminal procedures with regard to mechanisms on how to collect, share and use information</p>
<p>1.12 Ensure fair and effective investigative procedures and ensure resources and prioritization for such procedures</p>	<p>Conduct analysis and mapping for identification of legislation allowing for fair and effective investigative procedures (investigations policy, complaint procedures, whistle-blowers, etc.) and ensuring resources and prioritization for such procedures</p> <p>Conduct gap analysis and frame/map</p>	<p>Implement and enforce a comprehensive legal framework allowing for fair and effective investigative procedures (investigations policy, complaint procedures, whistle-blowers, etc.) and ensuring resources and prioritization for such procedures</p>	<p>Have adequate assessment tools for control and independent review over provisions ensuring fair and effective investigative procedures and resources and prioritization for such procedures</p>

	<p>for introduction of new legislation allowing for fair and effective investigative procedures (investigations policy, complaint procedures, whistle-blowers, etc.) and ensuring resources and prioritization for such procedures</p> <p>Establish specific legislation allowing for fair and effective investigative procedures (investigations policy, complaint procedures, whistle-blowers, etc.) and ensuring resources and prioritization for such procedures</p>	Have an oversight Committee	
1.13 Adopt personal data protection mechanisms	<p>Conduct analysis and mapping for identification of legislation allowing for personal data protection mechanisms</p> <p>Conduct gap analysis and frame/map for introduction of new legislation allowing for personal data protection mechanisms</p> <p>Adopt legislation setting minimum standards and best practices in matters of personal data protection mechanisms</p>	Implement and enforce a comprehensive and robust legal and regulatory framework on personal data protection	<p>Promote the regular monitoring and improvement of personal data protection legal and regulatory framework</p> <p>Engage with sport organisations, betting regulators and operators and other relevant stakeholders in strengthening the cooperation platforms to agree on proportionate and adequate personal data protection mechanism</p> <p>Promote training and education programs directed at the public administration and to the public</p>
1.14 Carry out consumer protection policies	<p>Conduct analysis and mapping for identification of legislation allowing for consumer protection policies</p> <p>Conduct gap analysis and frame/map for introduction of new legislation</p>	Implement and enforce a comprehensive and robust legal and regulatory framework concerning consumer protection	Promote the regular monitoring and improvement of personal data protection legal and regulatory framework

	<p>allowing for personal data protection mechanisms</p> <p>Adopt legislation setting minimum standards and best practices in matters of consumer protection policies</p>		<p>Engage with sport organisations, betting regulators and operators and other relevant stakeholders in strengthening the cooperation platforms to agree on proportionate and adequate consumer protection policies</p>
<p>1.15 Set up an appropriate and proportional sanctioning framework through the criminal law and procedure</p>	<p>Conduct analysis and mapping for identification of legislation allowing for appropriate and proportional sanctioning framework</p> <p>Conduct gap analysis and frame/map for introduction of new legislation allowing for appropriate and proportional sanctioning framework</p> <p>Adopt legislation setting minimum standards and best practices of the sanctioning framework</p>	<p>Implement the best international practices in respect to the sanctioning framework for sport integrity violations, by adopting comprehensive legislation in that respect</p>	<p>Have adequate assessment’s tools for control and independent review over of the sanctioning framework and work actively to improve it</p> <p>Engage with sport organisations, betting regulators and operators and other relevant stakeholders in strengthening the cooperation platforms to agree on the improvements and adjustments of an appropriate and proportional sanctioning framework</p>

2. **SPORTS ORGANISATIONS** shall enhance the prevention of, and the fight against, illegal and criminal activities associated with sports competitions and sports betting, by adopting adequate regulatory and policy measures.

	BRONZE	SILVER	GOLD
<p>2.1. Comply with and actively implement SIGA Core Principles on Sport Integrity and Universal Standards, as well as the applicable national and international legislation</p>	<p>State the endorsement for SIGA Core Principles and Universal Standards</p> <p>Map the relevant national and international laws</p>	<p>Integrate relevant SIGA-approved, national and international regulations into the sports organisation’s own regulatory framework</p>	<p>Implement the related guidelines</p> <p>Advocate among partners and stakeholders for the endorsement of the Standards and proactively contribute to uphold the global levels of transparency</p> <p>Ensure proper resources devoted to</p>

			compliance and implementation of SIGA Core Principles
2.2. Establish a designated person or unit responsible for Sports Integrity with proper resources	Plan the appointment of an appropriate person or unit responsible for Sports integrity by identifying an in-house resource or bringing in the relevant expertise	Have in place a designated person or unit responsible for Sports Integrity in the organisation	Proactively contribute to uphold the global levels of coordination and cooperation between sports organisations' designated persons/units as well as national and international sports integrity focal points Engage in the existing international platforms
2.3. Define what is considered a disciplinary offence and establish an extensive statute of limitations	Foresee the establishment of a statute of limitations	Establish a clear definition of sports betting integrity-related disciplinary offence, within the framework of a statute of limitations	Monitor the compliance of the statute of limitations Actively promote the improvement and harmonisation of disciplinary and sporting sanctions
2.4. Have an Anti-Corruption Code of Conduct applicable to all participants, including athletes, referees, coaches/ managers, officials, administrators and others, in relation to anti-corruption and related activities	Define the corruption risks faced by the organisation Identify the specific challenges raised by sports betting integrity in the organisation, its partners and its sport as a whole Approve, adopt and implement a Code of Conduct setting out the guiding principles for all participants with the aim of promoting the highest standards of conduct	Establish and define sanctions for breaches of the Code of Conduct	Promote and provide education on the Code of Conduct amongst participants so that all organisation's stakeholders are aware of its content and key features Monitor the implementation of the Code of Conduct amongst participants Promote the adoption of similar or joint Codes in other sports organisations
2.5. Ensure the existence of an adequate whistle-blowing policy that covers reporting	Foresee the implementation of whistle-blowing procedures (ombudsman,	Implement appropriate whistle-blowing policy	Publicly account for the magnitude and nature of concerns raised in confidence

<p>mechanisms, reporting of information in a timely manner, and an obligation to report, as well as whistle-blower and witness protection mechanisms</p>	<p>independently–run integrity hotline, <i>etc.</i>)</p> <p>Encourage whistle blowers to come forward and protect them</p> <p>Foresee the appointment of a designated person or unit responsible for receiving whistle-blower reports and ensuring remedial action is taken in respect of any breach of the organisation’s sports betting integrity rules, as well as the SIGA Universal Standards</p>	<p>Establish an easily assessable channel for anyone to confidently seek guidance or raise concerns about potential breaches in sport betting integrity matters</p> <p>Make clear to all participants who to report to and the respective basic procedures</p>	<p>(channel for whistleblowing) and how the concerns have been addressed</p> <p>Publish reporting and sanctioning procedures associated with breaches of the SIGA Universal Standards</p>
<p>2.6. Ensure proper transparent and independent disciplinary proceedings through a due fair process assuring, in particular:</p> <p>(a) Guarantees of natural justice rights for the alleged offender; (b) Possibility of plea bargaining; (c) Possibility to granting amnesties; (d) Appropriate and proportional sanctions; (e) Publicity of the decisions; (f) The right of appeal; (g) Respect of confidentiality and anonymity for witnesses; and (h) Provisional measures</p>	<p>Recognise the respect and protection of fundamental procedural rights in sports betting disciplinary proceedings</p>	<p>Establish fair, transparent, impartial and independent disciplinary proceedings providing for neutrality, declaration of conflicts of interest, strict rules over communications between the panel and the sport body itself, <i>etc.</i></p>	<p>Implement monitoring system to regularly assess if the proceedings are respectful of fundamental procedural rights</p>

<p>2.7. Develop harmonised regulatory frameworks on the manipulation of sports competitions, betting and inside information</p>	<p>Identify and promote current regulations offering tools to fight sports manipulations, illegal betting, <i>etc.</i></p> <p>Conduct need assessment, benchmark minimum requirements and map the introduction of new legislation</p>	<p>Implement robust and fit-to-purpose regulations to tackle sports manipulations across all relevant sports jurisdictions</p> <p>Make sure disciplinary bodies members are aware of and trained on sports betting integrity issues</p> <p>Make sure the governing body is given powers to investigate including the mandatory requirements on participants and other personnel to cooperate with investigations and information they will be required to share such as phone records, emails, banking records, <i>etc.</i></p>	<p>Proactively contribute to uphold the global levels of coordination between stakeholders for harmonised regulatory frameworks</p> <p>Regularly assess regulations in order to improve them</p>
<p>2.8. Help government and regulator to determine the types and formula of betting permitted on sports competitions</p>	<p>Conduct a betting risk assessment – liaise with the regulator and betting operators to evaluate, study and determine which betting types, formulas and competitions are the most fixing-prone or comprise the higher integrity risk in the organisation and sport</p> <p>Enter into formal discussions with regulators and betting operators to share information on suspicious and irregular betting activity in relation to the sport</p>	<p>Enter in discussions and contracts with betting operators for making betting on risky types, formulas and competitions prohibited and enshrine this in licensing process – when applicable</p> <p>Establish formal mechanisms with regulators and betting operators to share information on suspicious and irregular betting activity in relation to the sport</p>	<p>Regularly monitor the effective enforcement of prohibitions on betting on risky types, formulas and competitions</p>

<p>2.9. Adhere to or create a monitoring, information/intelligence sharing system aligned with best practices and international standards</p>	<p>Develop policy and procedures for information/intelligence sharing platform</p> <p>Develop points of contact</p> <p>Agree to establish an information/intelligence-sharing platform</p> <p>Allow for use in criminal and disciplinary procedures of evidence collected from information/intelligence sharing platform</p> <p>Establish information sharing arrangements with betting operators and national betting regulators</p>	<p>Establish a robust and fit-to-purpose information/intelligence sharing platform</p> <p>Ensure a betting monitoring system is in place</p> <p>Secure electronic sharing of information/intelligence</p> <p>Have in place a designated person or unit responsible for Sports Integrity in the organisation to deal with information/intelligence management and sharing</p> <p>Strive for excellence and ISO accreditation</p>	<p>Monitor the functioning of the information/intelligence-sharing platform to improve it</p> <p>Fulfill with or strive for ISO Standard in Data Security</p> <p>Promote the establishment of international information/intelligence sharing platform and share experience with other sports organisations for lesson-learning purposes</p> <p>Promote high-level reporting on successful exchanges</p> <p>Ensure secure committees</p>
<p>2.10. Implement training and education initiatives and capacity building programs</p>	<p>Conduct analysis and mapping for identification of needs for capacity building programs, as well as training, education and public awareness initiatives</p> <p>Identify which types of participants are the most vulnerable to improper approaches and the risks they encounter in order to tailor education programmes knowingly</p>	<p>Implement education programs to make sure that all participants are made fully aware of the integrity rules, including their duty to report improper behaviours</p>	<p>Regularly assess the effectiveness of education and capacity-building programs to improve them</p>
<p>2.11 Ensure the existence of appropriate risk assessment and risk management tools to assist sport organisations in managing both the internal and external financial risk, and other threats that may arise in</p>	<p>Commit to a risk aware approach in dealing with sports betting integrity matters</p> <p>Foresee the implementation of</p>	<p>Have in place an easily accessible risk assessment and risk management tool that has to be available to the Department/Unit/Individual with responsibility for integrity within the</p>	<p>Regularly re-assess risk identification and management mechanisms to improve them</p> <p>Provide regular training to staff on risk</p>

the context of sports betting	appropriate risk assessment and risk management tools	sports organisation should the need arise to assess a new development in sports betting Mobilize in-house expertise or hire sports integrity specialist to analyse data and assess risks	assessment and risk management mechanisms
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3. SPORT BETTING REGULATORS shall ensure their independence, the proper scope of their intervening powers and their transparency of operations.

	BRONZE	SILVER	GOLD
3.1. Establish parameters and methods for combating illegal betting and betting fraud	Having in place basic benchmark parameters and methods for combatting illegal betting, betting fraud and sports manipulations	Have a set a requirements to provide betting information and intelligence Establish communications and information-sharing channels with sports organisations and betting operators on the regulator’s methodology in the field Convene a national Sport Betting Integrity Forum between main stakeholders (sports federations, police and regulator) to ensure efficient exchange of information, identification of emerging risks, and sharing ideas	Implement efficiently provisions to regulate the betting market and work actively to improve the regulation of the national betting market Establishing the mandatory requirement that licensed betting operators need to conduct regular money laundering risk assessments Establish enhanced international networks and partnerships to share methods and information to sports betting regulators in other jurisdictions
3.2. Enforce applicable sports betting legislation	Identify and promote current laws offering tools to fight sports manipulations, illegal betting, betting fraud, <i>etc.</i> Conduct need assessment and map the introduction of new legislation – if necessary	Apply legislation in place to tackle sports manipulations, illegal betting and betting fraud at national level Make sure regulatory body staff are aware of and trained on sports betting integrity issues as well as their role in preventing and sanctioning sports	Regularly assess legislation to advise governmental authorities on possible improvements Monitor compliance

		<p>manipulation, betting fraud and illegal betting</p> <p>Include all relevant legislation and licensing information on its website, as well as the core principles and objectives of the regulatory authority</p>	
<p>3.3. Support investigations and prosecution of offences related to the manipulation of sports competitions, illegal betting and betting fraud and, when possible and appropriate, communicate results of investigations and prosecutions</p>	<p>State the support for investigative efforts and the systematic prosecution of offences related to sports manipulation and illegal betting</p>	<p>Follow fit-to-purpose investigation policies set up by governmental authorities and be respectful of complaint procedures in all regulatory activities</p> <p>Provide investigators and prosecutors with the necessary resources to perform their work</p>	<p>Monitor the functioning and effectiveness of investigations and the prosecution of offences to identify possible weaknesses and make up for those</p> <p>Engage in platforms of transnational cooperation for investigations and prosecution procedures with partner betting regulators overseas</p>
<p>3.4. Collaborate with and support sport organisations and betting operators, notably on the supply of sports betting based on the official fixtures of sports competitions provided by the official sports competitions organisers</p>	<p>Conduct analysis and mapping for identification of legislation allowing for collaboration with and support to sport organisations and betting operators</p> <p>Conduct gap analysis and frame/map for introduction of new legislation allowing for collaboration with and support to sport organisations and betting operators</p>	<p>Legislate on a model allowing for agreement on the type of bets, formulas and competitions to be authorized, endorsed by the regulator and then enforced by the governmental authorities</p>	<p>Have adequate assessment's tools for control and independent review over of the legislation allowing for collaboration with and support to sport organisations and betting operators and work actively to improve it</p>
<p>3.5. Develop relevant rules pertaining to prevention of conflicts of interest</p>	<p>Identify and formulate specific rules with regards to conflicts of interest notably between regulators and operators as well as between operators and sports organisations</p>	<p>Implement and enforce rules for handling personal, professional and institutional conflicts of interest between the different set of stakeholders (<i>i.e.</i> sport, government and betting sector) as well as the individuals within notably with regards</p>	<p>Promote and provide education on conflicts of interest rules amongst stakeholders and individuals within so that there is general understanding of accepted behaviours in that field</p> <p>Monitor the implementation of the</p>

		<p>to sponsorship, ownership, consultancy, decision-making, etc.</p> <p>Define appropriate scope for conflicts of interest notably with regards to relatives and business partners</p> <p>Maintain a register of interests - and declared conflicts of interests - for senior officials and staff within relevant stakeholders</p>	<p>rules on conflicts of interests</p> <p>Publish reporting and sanctioning procedures associated with breaches of the conflict of interest rules</p>
3.6. Develop information on betting types and competitions/bets that are most vulnerable to fraud and corruption	Evaluate, study and determine which betting types, formulas and competitions are the most fixing-prone or comprise the higher integrity risk in the organisation and sport	Enter in discussions with betting operators to refrain them from proposing bets on risky types, formulas and competitions and enshrine this in licensing process – when applicable – including through specific prohibitions	Regularly monitor the market to see how prevalent risky bets are and sanctions infringers when prohibited bets are proposed – including through fines, suspensions or license removals
3.7. Monitor individual bets	<p>State the need for monitoring individual bets, notably by means of payment which allows traceability of financial flows, namely the original, final recipients and amount</p> <p>Monitoring of bets should also cover suspicious activity such as behavior of individual account holders, new accounts, size of bets, types of bets, and new sports being bet on by account holders.</p>	<p>Implement and enforce rules for handling monitoring of individual bets</p> <p>Ensure that suspicious activities being not only about suspicious odds movements, the triggering of the monitoring of individual bets include suspicious activity such as behavior of individual account holders and suspicious activity including new accounts, size of bets, types of bets, and new sports being bet on by account holders and not just payments</p>	Monitor the functioning and effectiveness of the monitoring system of individual bets and work actively to improve it
3.8. Suspend betting when an appropriate alert has been issued, pursuant to the national legislation, including through transnational judiciary mutual assistance	State the need for timely suspension of bets when they have been red flagged	Define the different types of control that are necessary and develop the alert indicators and instrument panels associated to these	Monitor the functioning and effectiveness of alert settings and follow up to identify possible weaknesses and make up for those

		Implement the timely suspension of bets for which an adequate alert has been issued	Establish platform of transnational cooperation for early warning and general red flagging with partner betting regulators overseas as well as betting operators
3.9. Monitor, block, close and restrict consumers' access to illegal and unlicensed sports betting operators	State the need for measures preventing illegal and unlicensed sport betting operators' activities Gather and disclose intelligence on illegal and unlicensed betting operators in the respective jurisdiction	Maintain a register/list of (or intelligence on) illegal and unlicensed sports betting operators and domains used to offer gambling contrary to the jurisdiction's gambling regulation Implement the blocking, closing or restricting of the access to illegal and unlicensed betting operators and the advertising on their platforms - in compliance with applicable national laws	Coordinate with international partners the implementation of the prevention measures aimed at illegal and unlicensed operators Regularly monitor and disclose updated information on legal/illegal and unlicensed sport betting operators and domains used to offer gambling
3.10 Require disclosure of beneficial ownership of betting operators	State the support for the disclosure of ownership of betting operators	Implement mechanisms to access information on beneficial ownership of sport betting operators	Regularly disclose updated information on the beneficial ownership of betting operators in the jurisdiction
3.11 Prevent employees from taking advantage of suspicious or irregular betting patterns	State the need to have internal mechanisms to address and counter insider information	Implement internal mechanisms to address and counter insider information Regularly monitor compliance	Internal regular training and education on insider information risk

4. SPORTS BETTING OPERATORS shall effectively prevent and fight against illegal betting, match-fixing, sports betting fraud and other related criminal and fraudulent activities:

	BRONZE	SILVER	GOLD
4.1. Ensure cooperation and information sharing mechanisms are in place with sports organisations and the relevant stakeholders	<p>State the endorsement for SIGA Core Principles and Universal Standards</p> <p>Transmit voluntarily in a timely manner, to regulators, law enforcement and sport governing bodies information and intelligence legally requested by these national and international stakeholders with due respect to data protection, criminal/disciplinary law and other relevant provisions</p>	<p>Establish a unit/officer/department in charge of information exchange and relationship with sport organisations and sport stakeholders</p> <p>Adopt the best international standards and practices in information sharing and communication with the competent authorities and relevant stakeholders</p> <p>Conclude memorandums of understanding and other binding agreements envisaging to foster efficient information sharing mechanisms and strengthening cooperation with sports organisations and other stakeholders at a national and international level</p>	<p>Engage in international cooperation platforms and put forward proposals for the enhancement of the existing cooperation mechanisms</p> <p>Have in place robust assessment mechanisms to enhance effectiveness and compliance of the cooperation agreements and proactively work to the improvement of existing cooperation mechanisms</p> <p>Ensure a proper oversight over the MoUs and agreements allocating sufficient human, technical and financial resources to its enforcement and best implementation</p>

<p>4.2. Ensure compliance with the legal framework and jurisdictions and proactively engage in risks' assessment and management</p>	<p>State the endorsement for SIGA Core Principles and Universal Standards</p> <p>Foresee the implementation of appropriate risk assessment and risk management tools</p> <p>Comply with the national regulatory framework in each jurisdiction where the operator is established and manages sports betting operations</p>	<p>Identify, analyze, oversee and assess the risks related to sports betting integrity in a timely manner with adequate means and expertise, in full cooperation with other relevant stakeholders</p> <p>Have in place an easily accessible risk assessment and risk management tool</p> <p>Mobilize in-house expertise or hire sports integrity specialist to analyse data and assess risks</p> <p>Develop and increase capacity to properly address new and specific risks</p>	<p>Regularly re-assess risk identification and management mechanisms to improve them</p> <p>Provide regular training to staff on risk assessment and risk management mechanisms</p> <p>Propose regulatory reforms aimed to enhance sports betting integrity and address the main challenges and threats related with market growth</p> <p>Proactively ensure that each risk monitored is effectively reduced through a mitigation/contingency plan disclosed to the customers, regulators and shareholders</p> <p>Establish an Audit and Risk Assessment Unit to propose risk management policies and endorse measures to lower identified risks pursuant international standards, best practices and risks' management principles and guidelines</p>
<p>4.3. Develop mechanisms to block transactions</p>	<p>Implement basic mechanisms to block transactions</p> <p>Ensure full compliance with national legislative and regulatory provisions related with blocking transactions in each jurisdiction where the operator</p>	<p>Establish and implement robust mechanisms to block transactions in cooperation with sports organisations and relevant stakeholders</p> <p>Engage in global alert platforms</p>	<p>Have in place a sophisticated and/or innovative system to quickly block suspicious transactions (best practice)</p> <p>Engage in intelligence exchange for the improvement of detection and blocking systems at global level</p>

	holds a license	<p>Establish consistent and robust practices to collect and retain information related with illegal betting</p> <p>Cooperate with financial institutions to block payments and with central bank authorities and law enforcement to channel information required to investigations to this purpose</p>	<p>Have in place transnational cooperation mechanisms, operating with proper expertise and adequate resources, aimed to block financial flows related with suspicious customers and/or transactions, providing full reporting to relevant public authorities in a timely and efficient manner</p>
4.4. Ensure the prompt reporting of irregular or suspicious betting to the competent authorities	<p>Have in place reporting mechanisms to the competent authorities and relevant stakeholders</p> <p>Provide information gathered in relation to suspect bets to public authorities, regulators and sports organisations with due respect to the regulatory framework of each jurisdiction where the operator holds a license and where the consumer is located, notably data protection rules</p>	<p>Have in place reporting mechanisms and engage in regular assessment and monitoring with the competent authorities and relevant stakeholders</p> <p>Comply with the highest reporting standards applicable under the jurisdiction of each territory the betting operator offer bets</p> <p>Establish an efficient reporting mechanism of suspect bets, based on a monitoring system overseeing all the odds in order to detect suspicious betting patterns and the volumes of bets placed.</p> <p>Early warning the sports organizations and betting regulators with immediate alerts whenever abnormal betting patterns are detected and deliver a full written report with all relevant data</p>	<p>Have in place a sophisticated and/or innovative system of monitoring, reporting and interchange of information with the competent authorities and relevant stakeholders (best practices)</p> <p>Engage in international cooperation platforms and put forward proposals for the enhancement of the existing reporting mechanisms</p>
4.5. Ensure responsible gambling measures for the protection of consumers and players of sport betting services, including:	Foresee the existence of a set of responsible gambling measures for the protection of consumers fully	Implement and make available a comprehensive system of information to consumers on gambling risks and	Have in place a sophisticated and/or innovative system for the protection of consumers and players of sport betting

<p>(a) protection of vulnerable consumers, especially young people;</p> <p>(b) prevention of underage gambling;</p> <p>(c) time-out and self-exclusion;</p> <p>(d) player activity and support;</p> <p>(e) combating fraudulent and criminal behavior;</p> <p>(f) protection of customer privacy and safeguarding of information;</p> <p>(g) prompt and accurate customer payment;</p> <p>(h) fair gaming;</p> <p>(i) responsible and ethical marketing;</p> <p>(k) commitment to customer satisfaction and support;</p> <p>(l) secure, safe and reliable operating environment;</p> <p>(m) sponsorship and ownership of sporting organisations; and</p> <p>(n) education and awareness</p>	<p>aligned and in compliance with national legislative and regulatory provisions in each jurisdiction where the operator holds a license</p>	<p>limitations</p> <p>Implement and make publicly available a Code of Conduct enunciating criteria and rules for responsible gambling</p> <p>Comply with the highest responsible gambling standards acknowledged by the gambling industry, regulators and academia</p> <p>Implement, assess, review and certify responsible gambling policies endorsed by standardization and certification bodies</p>	<p>services</p> <p>Actively pursue, implement and assess a robust and comprehensive set of measures in order to ensure a high level of protection for consumers, bettors and minors through the adoption of principles for sports betting services and for responsible commercial communications of those services, in order to safeguard health and minimise the eventual economic harm that may result from compulsive or excessive gambling</p> <p>Promote and provide regular training and education on the responsible gambling for relevant persons to ensure they understand problem gambling issues and are able to professionally deal with them</p> <p>Engage in international cooperation platforms and put forward proposals to uphold the levels of information for consumer's protection</p> <p>Promote regular campaigns on responsible gambling and raise awareness on this regard, providing consumers with information about gambling preventing the development of gambling related disorders and</p>
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4.6. Comply with all applicable sports betting related legislation and licensing criteria, and cooperate with the competent public authorities, law enforcement agencies and sporting competitions' organisers	<p>Adopt minimum standards on compliance with the applicable legal and licensing framework</p> <p>Betting operators shall only offer bets to the consumers of the jurisdictions in which they have an authorization and/or hold a license</p> <p>Establish mechanisms of cooperation and interchange of information with the competent authorities and relevant stakeholders</p> <p>Betting operators shall provide and share information with the competent public authorities, law enforcement agencies and sporting competitions' organizers assisting them to tackle and address potential integrity threats or suspect bets</p>	<p>Implement, assess and review a comprehensive compliance system and have in place permanent unit/officer/department to monitor compliance and interact with the competent authorities and relevant stakeholders, allocating sufficient resources and expertise to ensure the normal operations and efficiently enforce the legal provisions and standards</p>	<p>Have in place a sophisticated and/or innovative system of monitoring and compliance</p> <p>Engage in international cooperation platforms and put forward proposals to uphold the levels of compliance by all stakeholders</p> <p>Establish agreements and MoUs on information exchange with relevant, national and international stakeholders in order to improve sports betting integrity, to curb fraud and illegal gambling pursuant national legislation and international regulatory frameworks</p> <p>Regularly assess the implementation of these agreements and the achievement of the outcomes envisaged herein through a neutral and independent oversight</p>
4.7. Conduct money laundering risk assessments across their operations	<p>Adopt minimum standards on risk' assessment and risk management for money laundering</p>	<p>Implement a permanent monitoring system for risk assessment and risk management against money laundering</p>	<p>Have in place a sophisticated and/or innovative system of money laundering risk assessments across its operations</p>

		Promote cooperation with the competent authorities and relevant stakeholders to counter the risks relating to money laundering	Engage in international cooperation platforms and put forward proposals to improve globally the existing mechanisms of risk assessment relating to money laundering
4.8. Establish an internal sports betting integrity focal point	Foresee the appointment of an appropriate person or unit responsible for Sports integrity by identifying an in-house resource or bringing in the relevant expertise	Have in place a designated person or unit responsible for Sports Integrity in the organization Develop the betting integrity focal point into a recognized internal risk management function and as the key link with sports organizations and the authorities on betting integrity issues	Proactively contribute to uphold the global levels of coordination and cooperation between the relevant stakeholders designated persons/units as well as national and international sports integrity focal points Engage in the existing international platforms
4.9. Establish a Code of Conduct on Sports Betting Integrity	Foresee the implementation of a Code of Conduct	Implement and enforce a Code of Conduct setting out the guiding and binding rules of the sport betting services, covering internal and external operations	Promote and provide training and education on the Code of Conduct Monitor the implementation of the Code of Conduct Engage with the competent authorities and relevant stakeholders to promote the adoption of similar or joint Codes
4.10. Define the typology and formula of bets to be prohibited, restricted, suspended or voided	Make publicly available the typology and formula of bets to be prohibited, restricted, suspended or voided	Implement comprehensive and detailed information mechanisms defining the typology and formula of bets to be authorised, restricted, suspended or voided	Provide training and education on the type of bets to be prohibited, restricted, suspended or voided Proactively contribute to the improvement of existing mechanisms to define typology and formula of bets to be prohibited, restricted, suspended

			or voided
4.11. Only offer bets that are explicitly authorised by the competent sports betting regulators	Adopt minimum international standards on information disclosure concerning the only offer bets that are explicitly authorised in the respective jurisdiction	Provide clear and comprehensive information to consumers and players of sport betting services of the only offer bets that are explicitly authorised in the respective jurisdiction Liaise with sports bodies and regulators regarding 'risky' bets and do not offer those which place the sport at particular risk to manipulation	Have in place a sophisticated and/or innovative system providing information to consumers and players of sport betting services of the only offer bets that are explicitly authorised in the respective jurisdiction Engage in international cooperation platforms for the improvement of monitoring systems concerning authorised and unauthorised offer bets
4.12. Establish a credible and efficient Sports Betting Monitoring System	Have in place a basic Sport Betting Monitoring system or submit its activity to a reliable external system	Implement a credible, efficient and reliable Sport Betting Monitoring System susceptible of being evaluated by external auditing and comprising a reporting system so that there is a recognised process for when suspicious account activity is identified Disclose to law enforcement and regulators trends and results of its sport betting monitoring system	Have in place a sophisticated and/or innovative Sports Betting Monitoring System (best practice) Engage with the competent authorities and relevant stakeholders to augment the levels of cooperation and exchange of information and improve globally the Sport Betting Monitoring Systems
4.13. Prevent employees from taking advantage of suspicious or irregular betting patterns	State the need to have internal mechanisms to address and counter insider information Establish policy on using inside information and the possible sanctions that could be faced for breaches	Implement internal mechanisms to address and counter insider information Regularly monitor compliance	Regular internal training and education on insider information risk